



PTO/SB/97 (08-00)
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on Nov. 11, 2002
Date

DJ Bogorodsky
Signature

DJ Bogorodsky

Typed or printed name of person signing Certificate

In re: application of: Fei Xie
Application Number: 09/747,392
Filed: December 22, 2000
Title: Methods of Recording Voice Signals in a Mobile Set
Atty Docket Number: 019717-004100US JMZ/djb

Being faxed to Group 2681 at facsimile number 1-703-872-9314 are the following documents:

This PTO/SB/97 Certificate of Transmission (1 page);
PTO/SB/21 Transmittal Form (1 page);
PTO/SB/17 Fee Transmittal (1 page submitted in duplicate);
PTO/SB/22 Petition for Extension of Time (1 page);
Copy of Notice to File Missing Parts of NonProvisional Application (2 pages);
Copy of signed Declaration (1 page)

Number of pages being transmitted: 8

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3263525 v1

fax ref. # 828030

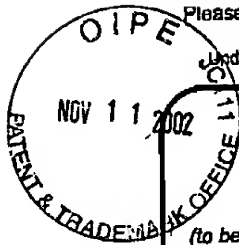
PTO/SB/21 (09-00)

Please type a plus sign (+) inside this box → ☒

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/747,392	
	Filing Date	December 22, 2000	
	First Named Inventor	Xie, Fei	
	Group Art Unit	2681	
	Examiner Name		
Total Number of Pages in This Submission	8	Attorney Docket Number	019717-004100US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> PTO/SB/17 Fee Transmittal (1 pg submitted in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Copy of signed declaration (1 pg) <input checked="" type="checkbox"/> PTO/SB/22 Extension of Time Request (1 pg) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application (2 pgs)	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO/SB/97 Certificate of Transmission (1 pg)
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

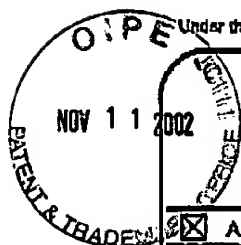
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP J. Matthew Zigmant	Reg. No. 44,005
Signature		
Date	Nov. 11, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9314 on 11-11-02	
Typed or printed name	DJ Bogorodsky
Signature	Date 11 Nov '02

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 160

Complete if Known

Application Number 09/747,382
Filing Date December 22, 2000
First Named Inventor Xie, Fei
Examiner Name
Group Art Unit 2681
Attorney Docket No. 010717-004100US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	740	Utility filing fee	
		1002	320	Design filing fee	
		1003	510	Plant filing fee	
		1004	740	Reissue filing fee	
		1005	160	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fees from below	Fee Paid
Independent Claims			
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1202	18	Claims in excess of 20
		1201	84	Independent claims in excess of 3
		1203	280	Multiple dependent claim, if not paid
		1204	84	Reissue independent claims over original patent
		1205	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

*For number previously paid, if greater. For Reissue, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1031	180	Surcharge - late filing fee or oath	66
		1032	50	Surcharge - late provisional filing fee or cover sheet	
		1053	130	Non-English specification	
		1812	2,520	For filing a request for reexamination	
		1804	820*	Requesting publication of SIF prior to Examiner action	
		1805	1,840*	Requesting publication of SIF after Examiner action	
		1251	110	Extension for reply within first month	66
		1252	400	Extension for reply within second month	
		1253	920	Extension for reply within third month	
		1254	1,440	Extension for reply within fourth month	
		1255	1,960	Extension for reply within fifth month	
		1401	320	Notice of Appeal	
		1402	320	Filing a brief in support of an appeal	
		1403	280	Request for oral hearing	
		1451	1,510	Petition to institute a public use proceeding	
		1452	110	Petition to revive - unavoidable	
		1453	1,260	Petition to revive - unintentional	
		1501	1,280	Utility issue fee (or reissue)	
		1502	450	Design issue fee	
		1503	620	Plant issue fee	
		1460	130	Petitions to the Commissioner	
		1807	50	Petitions related to provisional applications	
		1808	180	Submission of Information Disclosure Stmt	
		8021	40	Recording each patent assignment per property (times number of properties)	
		1809	740	Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810	740	For each additional invention to be examined (37 CFR § 1.128(b))	
		1801	740	Request for Continued Examination (RCE)	
		1802	600	Request for expedited examination of a design application	

Other fee (specify) Filing and Independent claims fee adjustment

40

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

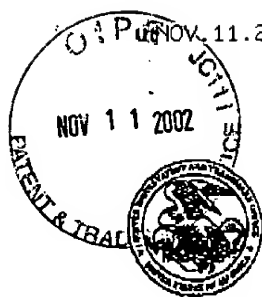
(\$160)

SUBMITTED BY

Name (Print/Type) J. Matthew Zigmant Registration No. (Attorney/Agent) 44,005 Telephone 650-325-2400
Signature [Signature] Date November 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on FTO-2039.

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NOV 11 2002 11:33:25 PM TOWNSEND & TOWNSEND

NO. 783 P. 6/8
Page 1 of 2

jmz 019717-004100US

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,392	12/22/2000	Fei Xie	019717-004100US

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 9440
FORMALITIES LETTER
OC00000008899335

Date Mailed: 08/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$105 for a Small Entity

- Total additional claim fee(s) for this application is \$40
 - \$40 for 5 independent claims over 3.

*A copy of this notice **MUST** be returned with the reply.*

M. J. Green
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY

PART 1 - ATTORNEY/APPLICANT COPY

1964	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372</
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